



THE UNIVERSITY
of NORTH CAROLINA
at CHAPEL HILL

First Principles Molecular Dynamics with DFT

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First Principles (ab Initio) Molecular Dynamics (some books call it “Quantum MD”)

Molecular Dynamics (MD) simulation in which nuclei (i.e. atoms-electrons) move according to forces that are obtained from DFT calculation.

- **Born Oppenheimer Molecular Dynamics (BOMD)**
- **Car Parrinello (extended Lagrangian) Molecular Dynamics (CPMD)**

Recall Classical Mechanics formulations

$$\dot{A} \equiv \frac{dA}{dt} \quad \ddot{A} \equiv \frac{d^2 A}{dt^2}$$

Newton (1643-1727):

$$U = U(\mathbf{R}^N)$$

Atom positions

Equation of Motion

$$\mathbf{F}_I = -\nabla_{\mathbf{R}_I} U = M_I \ddot{\mathbf{R}}_I$$

Lagrange (1736-1813):

$$L(\mathbf{R}, \dot{\mathbf{R}}) = \sum_{I=1}^N \frac{M_I \dot{\mathbf{R}}_I^2}{2} - U$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{R}}_I} = \frac{\partial L}{\partial \mathbf{R}_I}$$

Hamilton (1805-1865):

$$\begin{aligned} H(\mathbf{P}, \mathbf{R}) &= \sum_{I=1}^N \mathbf{P}_I \cdot \dot{\mathbf{R}}_I - L \\ &= \sum_{I=1}^N \frac{\mathbf{P}_I^2}{2M_I} + U \end{aligned}$$

$$\dot{\mathbf{R}}_I = \frac{\partial H}{\partial \mathbf{P}_I} = \frac{\mathbf{P}_I}{M_I} \quad \dot{\mathbf{P}}_I = \frac{\partial H}{\partial \mathbf{R}_I} = \mathbf{F}_I$$



Principle of Least Action is omitted here.

Modern Molecular Dynamics formulation

Lagrange (1736-1813):

$$L(\mathbf{R}, \dot{\mathbf{R}}) = \sum_{I=1}^N \frac{M_I \dot{\mathbf{R}}_I^2}{2} - U$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{R}}_I} = \frac{\partial L}{\partial \mathbf{R}_I}$$

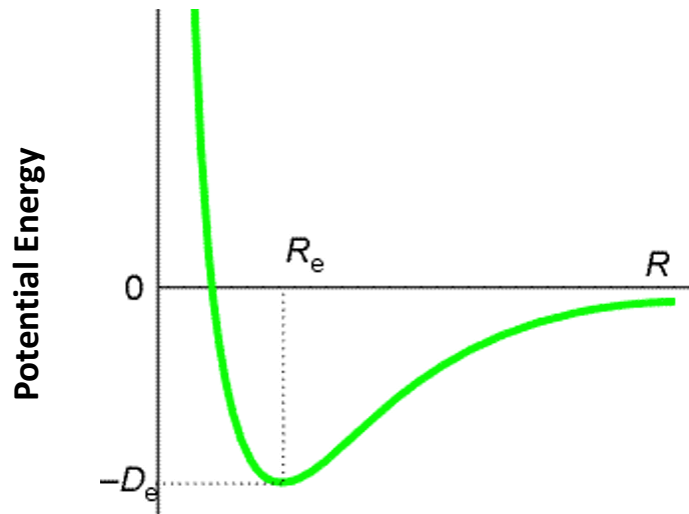
$$M_I \ddot{\mathbf{R}}_I = \mathbf{F}_I = -\nabla_{\mathbf{R}_I} U(\mathbf{R})$$

$U(\mathbf{R})$: Potential Energy - a mathematical function of 3 N_{atom} variables.

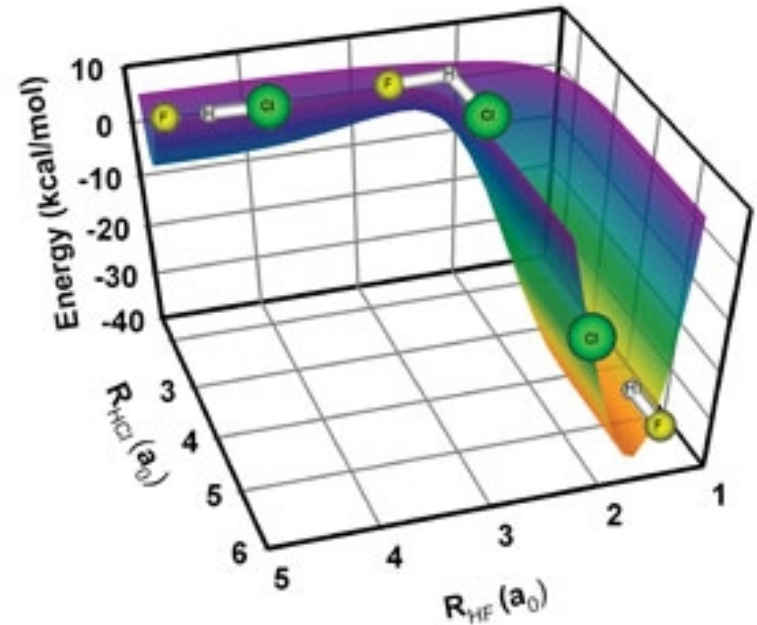
“Classical” MD - a set of analytical functions with empirical parameters are used to model $U(\mathbf{R})$ approximately.

First-Principles MD - $U(\mathbf{R})$ is obtained by approximately solving electronic Schrödinger Eq. for a particular \mathbf{R} from first principles (e.g. using DFT).

Potential Energy Curve for O-O



Potential Energy Surface for F-H-Cl



Molecular Dynamics simulation $M_I \ddot{\mathbf{R}}_I = \mathbf{F}_I = -\nabla_{\mathbf{R}_I} U(\mathbf{R})$

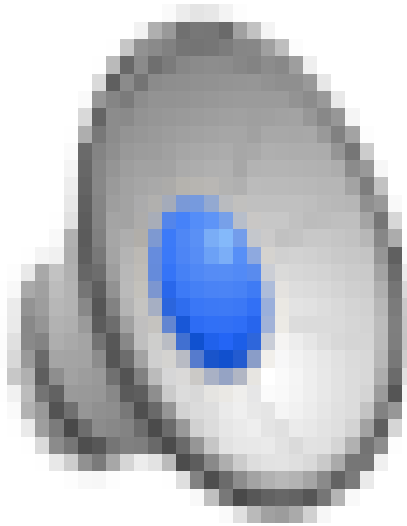
Various properties can be obtained as a function of Volume, Pressure, and Temperature.

$$T = \frac{1}{3Nk_B} \left\langle \sum_{I=1}^N \mathbf{M}_I \dot{\mathbf{R}}_I \cdot \dot{\mathbf{R}}_I \right\rangle$$

Classical ensemble average

$$P = \frac{1}{3V} \left\langle \sum_{I=1}^N \mathbf{M}_I \dot{\mathbf{R}}_I \cdot \dot{\mathbf{R}}_I - \mathbf{R}_I \cdot \nabla_{\mathbf{R}_I} U(\mathbf{R}) \right\rangle$$

Example. Simulation of Liquid Water at Silicon Surface



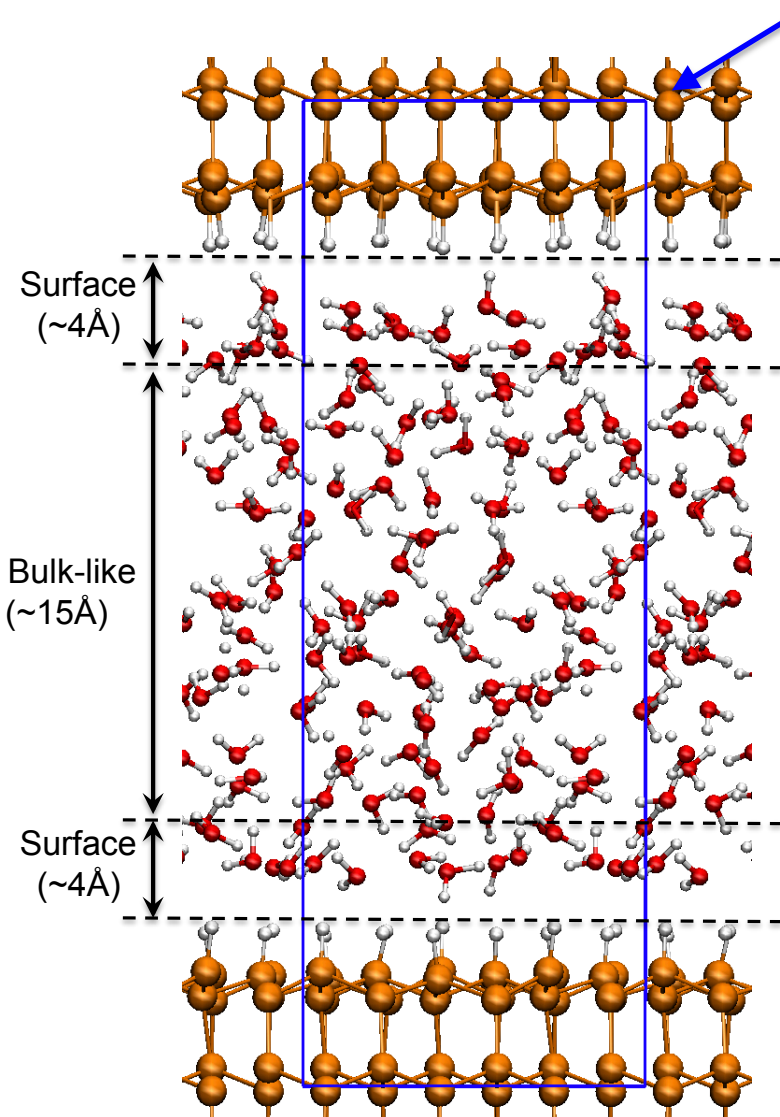
By Donghwa Lee

Dependence of Water Dynamics on Molecular Adsorbates near Hydrophobic Surfaces: First-Principles Molecular Dynamics Study

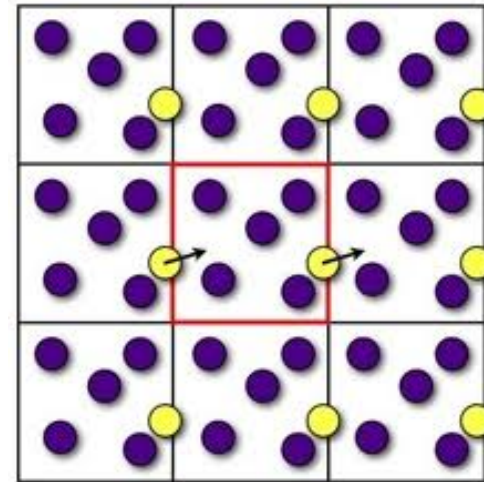
D. Lee, E. Schwegler, Y. Kanai. [J. Phys. Chem. C, 118, 8508 \(2014\)](#)

How is the interface modeled?

Simulation cell



Periodic Boundary Conditions (PBC) is used.



One needs to make sure the water region as well as silicon part is large enough such that calculated properties are converged.

“Finite size error” needs to be minimized.

Molecular Dynamics Simulation Step



```
graph TD; A[For a given {R_i(t)}, calculate U({R}) or equivalently the force on atoms (F=-∇_R U).] --> B[Move the atoms using a numerical integrator (e.g. Verlet algorithm)]; B --> C["* Approximately solve M_I R¨_I = F_I = -∇_{R_I} U(R) on a computer with a finite Δt."]; C --> D["e.g. R_i(t+Δt)=2R_i(t)-R_i(t-Δt)+Δt²F_i(t)/M_i"]; D --> E["* T and P can be controlled by using so-called “thermostats”."]; E --> F["e.g. Velocity scaling thermostat"]; F --> G[Update atomic positions: R_i(t)=R_i(t+Δt)]; G --> A;
```

For a given $\{R_i(t)\}$, calculate $U(\{R\})$ or equivalently the force on atoms ($F=-\nabla_R U$).

Move the atoms using a numerical integrator (e.g. Verlet algorithm)

* Approximately solve $M_I \ddot{\mathbf{R}}_I = \mathbf{F}_I = -\nabla_{\mathbf{R}_I} U(\mathbf{R})$ on a computer with a finite Δt .

e.g. $\mathbf{R}_i(t+\Delta t) = 2\mathbf{R}_i(t) - \mathbf{R}_i(t-\Delta t) + \Delta t^2 \mathbf{F}_i(t) / M_i$

* T and P can be controlled by using so-called “thermostats”.

e.g. Velocity scaling thermostat

Update atomic positions: $\mathbf{R}_i(t) = \mathbf{R}_i(t+\Delta t)$

Molecular Dynamics details omitted

Numerical Integrator for Computational Simulation

Many numerical approaches exist. Velocity Verlet is probably the most widely used integrator.

Calculating Ensemble-averaged quantities from Time-averages: Ergodicity

$$\langle O \rangle = \iint O(\mathbf{R}, \dot{\mathbf{R}}) P(\mathbf{R}, \dot{\mathbf{R}}) d\mathbf{R} d\dot{\mathbf{R}} = \lim_{t \rightarrow \infty} \frac{1}{t} \int_{t_0}^{t_0+t} O(\mathbf{R}(t'), \dot{\mathbf{R}}(t')) dt'$$

$$P(\mathbf{R}, \dot{\mathbf{R}}) = Q^{-1} e^{-H(\mathbf{R}, \dot{\mathbf{R}})/k_B T} \quad Q = \iint e^{-H(\mathbf{R}, \dot{\mathbf{R}})/k_B T} d\mathbf{R} d\dot{\mathbf{R}}$$

NVE – microcanonical ensemble vs. NVT – canonical ensemble

Thermostats

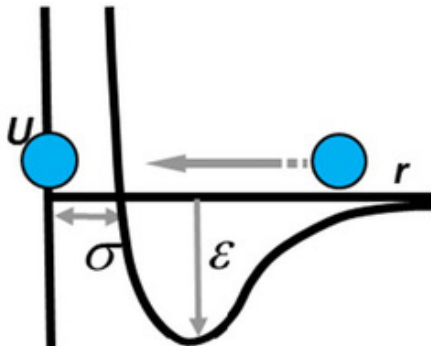
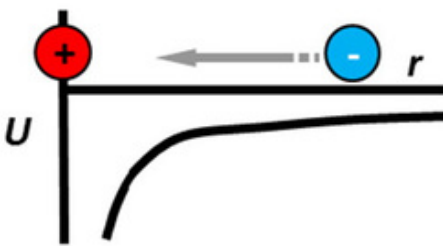
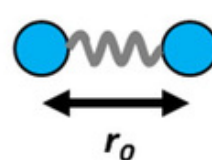
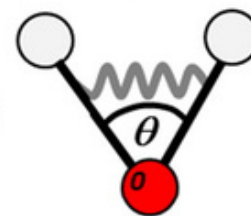
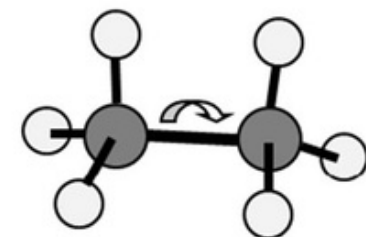
Velocity scaling approaches
e.g. Berendsen

$$M_I \ddot{\mathbf{R}}_I = \mathbf{F}(\mathbf{R}) - (M_I/\tau)(T^*/T - 1) \dot{\mathbf{R}}_I$$

Extended Lagrangian approaches
e.g. Nose

$$L_{Nose} = \sum_I \frac{M_I}{2} s^2 \dot{\mathbf{R}}_I^2 - U(\mathbf{R}) + \frac{Q}{2} \dot{s}^2 - g k_B T^* \ln s$$

A typical mathematical expression for Pottential Energy, U, in classical MD

$$\begin{aligned}
 U(\{R_i\}) = & \sum_{i < j} \sum 4\varepsilon_{ij} \left[\left(\frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left(\frac{\sigma_{ij}}{r_{ij}} \right)^6 \right] \\
 & + \sum_{i < j} \sum \frac{q_i q_j}{4\pi\varepsilon_0 r_{ij}} \\
 & + \sum_{bonds} \frac{1}{2} k_b (r - r_0)^2 \\
 & + \sum_{angles} \frac{1}{2} k_a (\theta - \theta_0)^2 \\
 & + \sum_{torsions} k_\phi [1 + \cos(n\phi - \delta)]
 \end{aligned}$$






Empirical parameters are determined by fitting to experiments and electronic structure calculations.

First Principles (Born Oppenheimer) Molecular Dynamics

$$M_I \ddot{\mathbf{R}}_I = \mathbf{F}_I = -\nabla_{\mathbf{R}_I} U(\mathbf{R})$$



$$U(\mathbf{R}) = E \left(|\Psi_0(\mathbf{R})\rangle, \mathbf{R} \right)$$

$$M_I \ddot{\mathbf{R}}_I = -\nabla_{\mathbf{R}_I} E \left(|\Psi_0(\mathbf{R})\rangle, \mathbf{R} \right) = -\nabla_{\mathbf{R}_I} \min_{\Psi} \langle \Psi(\mathbf{R}) | \hat{H} | \Psi(\mathbf{R}) \rangle$$

Note : Atom \rightarrow Nucleus (\mathbf{R}_I) + Electrons (\mathbf{r}_i)

$$\hat{H} = \sum_i -\frac{\hbar}{2m_e} \nabla_i^2 + \frac{1}{2} \sum_{i \neq i'} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_{i'}|} - \sum_{iI} \frac{Z_I e^2}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{I \neq I'} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|}$$



Classical nuclear-nuclear repulsion

Where does DFT come in?

Hellmann-Feynman Theorem

$$\Psi(\mathbf{r}^n; \underline{\mathbf{R}})$$

Parametric dependence on nuclear positions
(i.e. not explicit function of nuclear positions)

Force on nucleus that is indexed with I

$$\begin{aligned} F_I &= -\nabla_{R_I} \min_{\Psi} \langle \Psi(\mathbf{R}) | \hat{H} | \Psi(\mathbf{R}) \rangle = -\nabla_{R_I} \langle \Psi_0(\mathbf{R}) | \hat{H} | \Psi_0(\mathbf{R}) \rangle \\ &= -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle - \langle \nabla_{R_I} \Psi_0(\mathbf{R}) | \hat{H} | \Psi_0(\mathbf{R}) \rangle - \langle \Psi_0(\mathbf{R}) | \hat{H} | \nabla_{R_I} \Psi_0(\mathbf{R}) \rangle \\ &= -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle - E_0 \langle \nabla_{R_I} \Psi_0(\mathbf{R}) | \Psi_0(\mathbf{R}) \rangle - E_0 \langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \Psi_0(\mathbf{R}) \rangle \\ &= -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle - E_0 \nabla_{R_I} \langle \Psi_0(\mathbf{R}) | \Psi_0(\mathbf{R}) \rangle \\ &= -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle \end{aligned}$$

Recall the expression for the Hamiltonian

$$\hat{H} = \sum_i -\frac{\hbar^2}{2m_e} \nabla_i^2 + \frac{1}{2} \sum_{i \neq i'} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_{i'}|} - \sum_{iI} \frac{Z_I e^2}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{I \neq I'} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|}$$

Its derivative with respect to \mathbf{R}_I is

$$\nabla_{\mathbf{R}_I} \hat{H} = - \sum_i \nabla_{\mathbf{R}_I} \frac{Z_I e^2}{|\mathbf{r}_i - \mathbf{R}_I|} + \sum_{I'} \nabla_{\mathbf{R}_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|}$$

Classical nuclei repulsion

Force on a nucleus, I , is then given by

$$F_I = -\left\langle \Psi_0(\mathbf{R}) \left| \nabla_{R_I} \hat{H} \right| \Psi_0(\mathbf{R}) \right\rangle$$

$$= -\sum_{I'} \nabla_{R_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|} + \sum_i \int \Psi_0^*(\mathbf{r}_1 \dots \mathbf{r}_n; \mathbf{R}) \nabla_{R_I} \frac{Z_I e^2}{|\mathbf{r}_i - \mathbf{R}_I|} \Psi_0(\mathbf{r}_1 \dots \mathbf{r}_n; \mathbf{R}) d\mathbf{r}_1 \dots d\mathbf{r}_n$$

Electron density

$$\rho(\mathbf{r}; \mathbf{R}) \equiv N \int \Psi_0^*(\mathbf{r}, \mathbf{r}_2 \dots \mathbf{r}_n; \mathbf{R}) \Psi_0(\mathbf{r}, \mathbf{r}_2 \dots \mathbf{r}_n; \mathbf{R}) d\mathbf{r}_2 \dots d\mathbf{r}_n$$

$$= -\sum_{I'} \nabla_{R_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|} + \int \underline{\rho(\mathbf{r}; \mathbf{R})} \nabla_{R_I} \frac{Z_I e^2}{|\mathbf{r} - \mathbf{R}_I|} d\mathbf{r}$$

* DFT naturally gives the essential ingredient for performing MD simulations.

First-Principles Molecular Dynamics based on DFT

$$m_e = 1$$

$$\hbar = 1$$

1. For positions of nuclei, $\{\mathbf{R}_1 \dots \mathbf{R}_N\}$, and solve DFT-KS equations self-consistently

$$\left[-\frac{1}{2} \nabla^2 - \sum_I \frac{Z_I e^2}{|\mathbf{r} - \mathbf{R}_I|} + \int \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' + v_{XC}(\mathbf{r}) \right] \psi_i(\mathbf{r}) = \varepsilon_i \psi_i(\mathbf{r})$$

$$\rho(\mathbf{r}) = \sum_i^{occ} 2 |\psi_i(\mathbf{r})|^2$$

2. Calculate force on each nucleus/ion:

$$\mathbf{F}_I = - \sum_{I'} \nabla_{\mathbf{R}_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|} + \int \rho(\mathbf{r}; \mathbf{R}) \nabla_{\mathbf{R}_I} \frac{Z_I e^2}{|\mathbf{r} - \mathbf{R}_I|} d\mathbf{r}$$

3. Integrate equation of motion for the nuclei: perform a time step (Δt) and find new positions for the nuclei

$$M_I \ddot{\mathbf{R}}_I(t) = \mathbf{F}_I(\mathbf{R}(t))$$

MD Simulation

What about Hellmann-Feynman (HF) force **in practice** ?

$$\begin{aligned}
 F_I &= -\nabla_{R_I} \min_{\Psi} \langle \Psi(\mathbf{R}) | \hat{H} | \Psi(\mathbf{R}) \rangle = -\nabla_{R_I} \langle \Psi_0(\mathbf{R}) | \hat{H} | \Psi_0(\mathbf{R}) \rangle \\
 &= -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle - \underbrace{\langle \nabla_{R_I} \Psi_0(\mathbf{R}) | \hat{H} | \Psi_0(\mathbf{R}) \rangle}_{\downarrow} - \underbrace{\langle \Psi_0(\mathbf{R}) | \hat{H} | \nabla_{R_I} \Psi_0(\mathbf{R}) \rangle}_{\downarrow} \\
 &= -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle - E_0 \langle \nabla_{R_I} \Psi_0(\mathbf{R}) | \Psi_0(\mathbf{R}) \rangle - E_0 \langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \Psi_0(\mathbf{R}) \rangle \\
 &= -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle - E_0 \nabla_{R_I} \langle \Psi_0(\mathbf{R}) | \Psi_0(\mathbf{R}) \rangle = -\langle \Psi_0(\mathbf{R}) | \nabla_{R_I} \hat{H} | \Psi_0(\mathbf{R}) \rangle
 \end{aligned}$$

Only if the wave function is the exact G.S. solution of the Schrodinger equation.

*Basis set completeness

In the DFT language (in terms of electron density)

$$\begin{aligned}
 F_I &= -\sum_{I'} \nabla_{R_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|} - \nabla_{R_I} E^{DFT}[\rho; \mathbf{R}] \\
 &= -\sum_{I'} \nabla_{R_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|} + \int \rho(\mathbf{r}; \mathbf{R}) \nabla_{R_I} \frac{Z_I e^2}{|\mathbf{r} - \mathbf{R}_I|} d\mathbf{r} - \underbrace{\int \frac{\delta E^{DFT}}{\delta \rho(\mathbf{r}; \mathbf{R})} \nabla_{R_I} \rho(\mathbf{r}; \mathbf{R}) d\mathbf{r}}_{\tilde{\mathbf{F}}_I}
 \end{aligned}$$

Nuclei repulsion
HF force

$$\mathbf{F}_I = - \sum_{I'} \nabla_{\mathbf{R}_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|} + \int \rho(\mathbf{r}; \mathbf{R}) \nabla_{\mathbf{R}_I} \frac{Z_I e^2}{|\mathbf{r} - \mathbf{R}_I|} d\mathbf{r} + \tilde{\mathbf{F}}_I$$

Forces in Kohn-Sham DFT: $E^{KS-DFT}[\{\psi_i\}, \mathbf{R}]$

$$\begin{aligned} \tilde{\mathbf{F}}_I &= \sum_i \int \left(\nabla_{\mathbf{R}_I} \psi_i^*(\mathbf{r}; \mathbf{R}) \frac{\delta E}{\delta \psi_i^*(\mathbf{r}; \mathbf{R})} + \nabla_{\mathbf{R}_I} \psi_i(\mathbf{r}; \mathbf{R}) \frac{\delta E}{\delta \psi_i(\mathbf{r}; \mathbf{R})} \right) d\mathbf{r} \\ &= \sum_i \int \left(\nabla_{\mathbf{R}_I} \psi_i^*(\mathbf{r}; \mathbf{R}) (\hat{H}_{KS} - \varepsilon_i) \psi_i(\mathbf{r}; \mathbf{R}) + \nabla_{\mathbf{R}_I} \psi_i(\mathbf{r}; \mathbf{R}) (\hat{H}_{KS} - \varepsilon_i) \psi_i^*(\mathbf{r}; \mathbf{R}) \right) d\mathbf{r} \end{aligned}$$

Vanishes only for exact KS eigenfunctions.

Forces in Kohn-Sham DFT numerical calculations:

$$\psi_i(\mathbf{r}; \mathbf{R}) = \sum_m c_m^{(i)} \chi_m(\mathbf{r}; \mathbf{R})$$

$$H_{mg} = \langle \chi_m | \hat{H}_{KS} | \chi_g \rangle$$

*Basis set completeness

$$\tilde{\mathbf{F}}_I = \sum_i \sum_{g,m} \nabla_{\mathbf{R}_I} \underbrace{c_m^{(i)} (H_{mg} - \varepsilon_i) c_g^{(i)}}_{\text{red line}} + \sum_{g,m} \underbrace{c_m^{(i)} c_g^{(i)} \int \nabla_{\mathbf{R}_I} \chi_m^*(\mathbf{r}; \mathbf{R}) (\hat{H}_{KS} - \varepsilon_i) \chi_g(\mathbf{r}; \mathbf{R}) d\mathbf{r}}_{\text{green line}} + c.c.$$

Vanishes for exact KS eigenfunc.

Vanishes if basis set is R-independent.

$$\sum_g (H_{mg} - \varepsilon_i) c_g^{(i)} = 0$$

Remark about Basis Set

Numerical Atom-Centered Orbitals (NAO): discussed by V. Blum

Codes : FHI-aims, etc

Gaussians Type Orbitals (GTO) : Gaussian functions centered on atomic nuclei are used as basis set functions. Many integrals can be calculated analytically.

Codes : GAUSSIAN, Q-Chem

Planewaves (PW) : Planewaves are used as basis set functions, convenient when the periodic boundary conditions is used. It is often used with pseudopotentials to replace core electrons.

Codes: Quantum-Espresso, Qbox/Qb@II

Popular for FPMD because the basis set does not depend on the nuclear positions (no Pulay force to implement in codes).

Car Parrinello (extended Lagrangian) Molecular Dynamics: “CPMD”

VOLUME 55, NUMBER 22

PHYSICAL REVIEW LETTERS

25 NOVEMBER 1985

Unified Approach for Molecular Dynamics and Density-Functional Theory

R. Car

International School for Advanced Studies, Trieste, Italy

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M. Parrinello

*Dipartimento di Fisica Teorica, Università di Trieste, Trieste, Italy, and
International School for Advanced Studies, Trieste, Italy*

(Received 5 August 1985)

We present a unified scheme that, by combining molecular dynamics and density-functional theory, profoundly extends the range of both concepts. Our approach extends molecular dynamics



Review : Car-Parrinello Molecular Dynamics
Jurg Hutter, Wires – Computational
Molecular Science, 2, 513 (2012)

Popular codes for performing CPMD

CPMD, CP code (Q-Espresso), Qbox, Qb@II,
etc.

*CP2K does not have CPMD!

By Thomas D. Kühne

Car Parrinello extended Lagrangian

Lagrange multiplier for enforcing orthonormality

$$L^{CP}(\mathbf{R}, \dot{\mathbf{R}}; \{\psi_i\}) = \sum_{i=1}^n \frac{\mu}{2} \langle \dot{\psi}_i | \dot{\psi}_i \rangle + \sum_{I=1}^N \frac{M_I \dot{\mathbf{R}}_I^2}{2} - E[\{\psi_i\}; \mathbf{R}] + \sum_{i,j} \Lambda_{i,j} (\langle \psi_i | \psi_j \rangle - \delta_{ij})$$

Fictitious mass parameter for electronic degrees of freedom.

$\psi_i(\mathbf{r}, t)$ are treated as if they are **classical fields**.

$$\begin{aligned} \frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{R}}_I} &= \frac{\partial L}{\partial \mathbf{R}_I} & M_I \ddot{\mathbf{R}}_I &= -\nabla_{\mathbf{R}_I} E + \sum_{i,j} \Lambda_{i,j} \nabla_{\mathbf{R}_I} \langle \psi_i | \psi_j \rangle \\ & & &= -\sum_{I'} \nabla_{\mathbf{R}_I} \frac{Z_I Z_{I'} e^2}{|\mathbf{R}_I - \mathbf{R}_{I'}|} + \int \rho(\mathbf{r}; \mathbf{R}) \nabla_{\mathbf{R}_I} \frac{Z_I e^2}{|\mathbf{r} - \mathbf{R}_I|} d\mathbf{r} + \sum_{i,j} \Lambda_{i,j} \nabla_{\mathbf{R}_I} \langle \psi_i | \psi_j \rangle \end{aligned}$$

$$\begin{aligned} \frac{d}{dt} \frac{\partial L}{\partial \langle \dot{\psi}_i |} &= \frac{\partial L}{\partial \langle \psi_i |} & \mu \ddot{\psi}_i(\mathbf{r}, t) &= -\frac{\delta E}{\delta \langle \psi_i |} + \sum_j \Lambda_{i,j} |\psi_j \rangle \\ & & &= -\hat{H}_{KS} |\psi_i \rangle + \sum_j \Lambda_{i,j} |\psi_j \rangle \end{aligned}$$

Why/when can CPMD work?

There needs to be "adiabatic" separation (no energy exchange) between artificial **electronic** motion and real **ionic/nuclear** motion.

To achieve such, How do you find an appropriate fictitious electron parameter μ ?

Characteristic frequency of the slowest "electronic motion" is related to HOMO-LUMO energy gap, and it must be higher than fastest nuclear/ionic motion.

$$\omega_e^{\min} \approx \left(\frac{E_{\text{gap}}}{\mu} \right)^{1/2} > \omega_{\text{Ion}}^{\max}$$

Example: System with H atom: $\mu \sim 500$ a.u.

$\Delta t \sim 5\text{-}10$ a.u. = 0.1-0.2 femto-seconds

Car Parrinello Molecular Dynamics in a nutshell

“The heart of the matter is the “on the fly” calculation of the potential energy surface for nuclear motion, without performing a self-consistent diagonalization of the Kohn–Sham Hamiltonian at each time step...

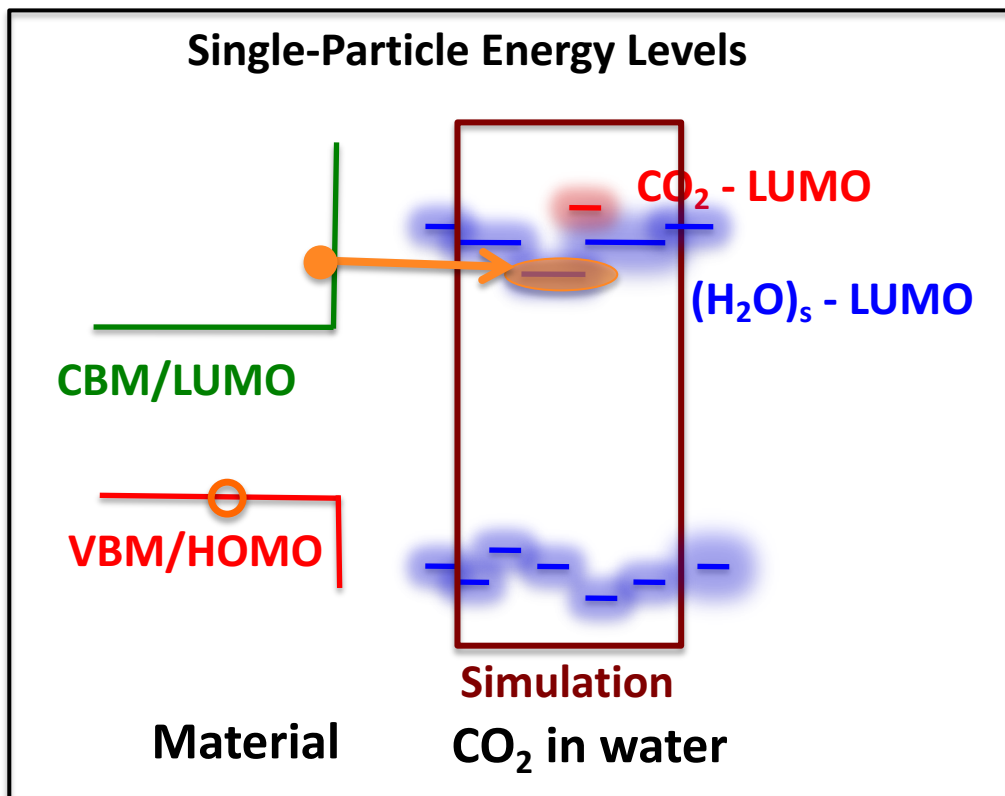
The Car–Parrinello approach has remarkable time stability, which derives from energy conservation in the extended parameter space of electrons and nuclei...

Considerably larger drifts occur in Born–Oppenheimer simulations due to accumulation of the systematic errors in the electron minimization.”

Roberto Car in “AB INITIO Molecular Dynamics: Dynamics and Thermodynamic Properties” (2006)

Applications

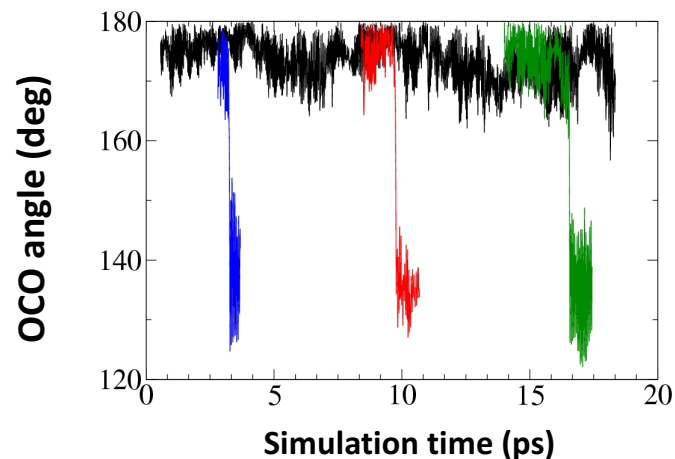
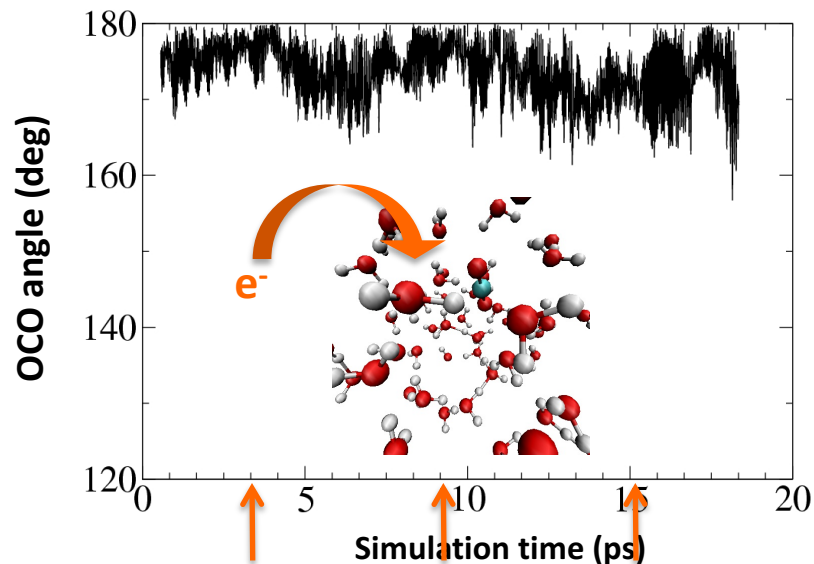
Electron localization on CO₂ in Water



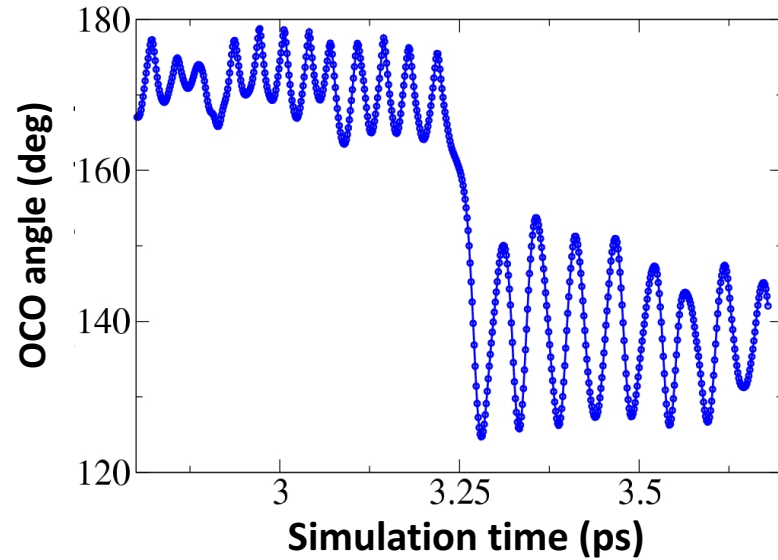
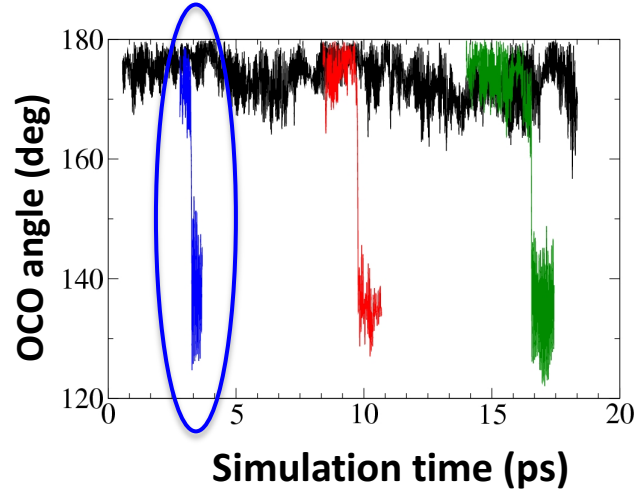
Experiment

Zhang, LH. Et al. Angew. Chem., 53, 9746 (2014)

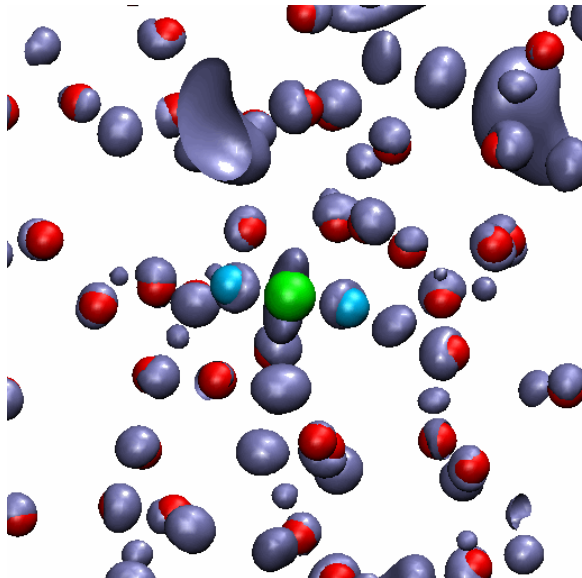
Injection of an extra electron:



Electron Localization on CO₂ in water



Density associate w/ the extra electron (spin density)

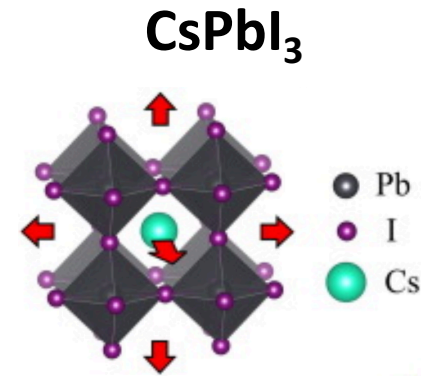
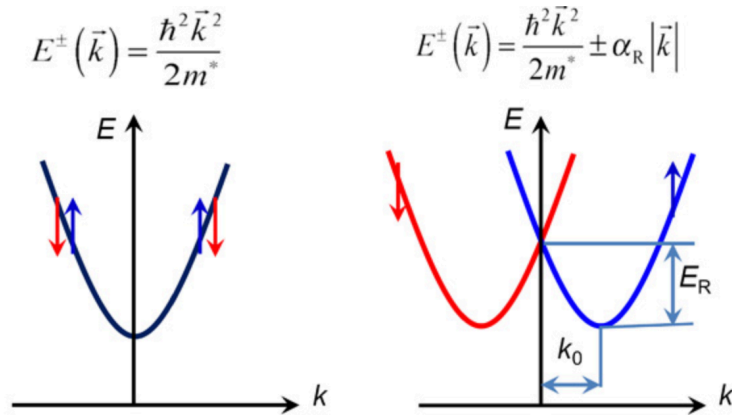


Key observations

The electron dynamically localizes somewhat around CO₂ as the bending angle fluctuates.

Large stochastic fluctuations prompt the electron to localize further, and it reciprocally causes the angle to become smaller as CO₂ anion.

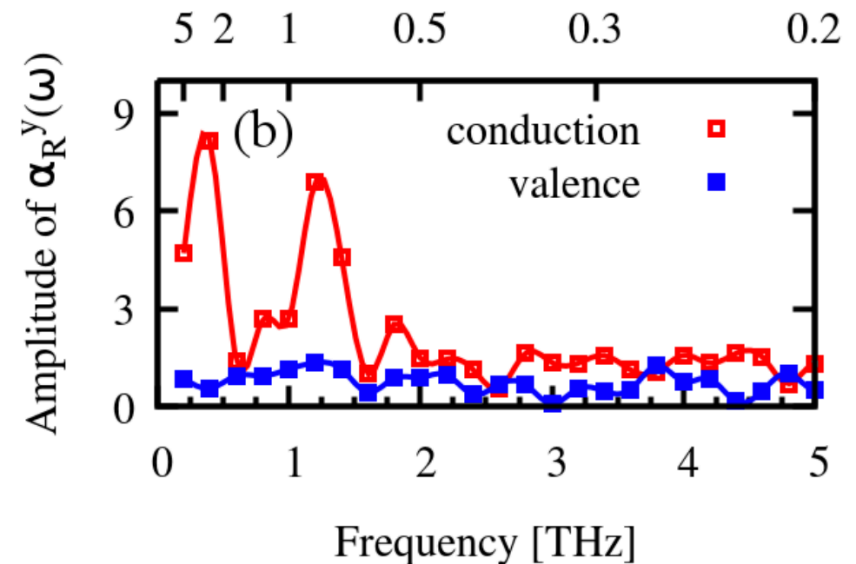
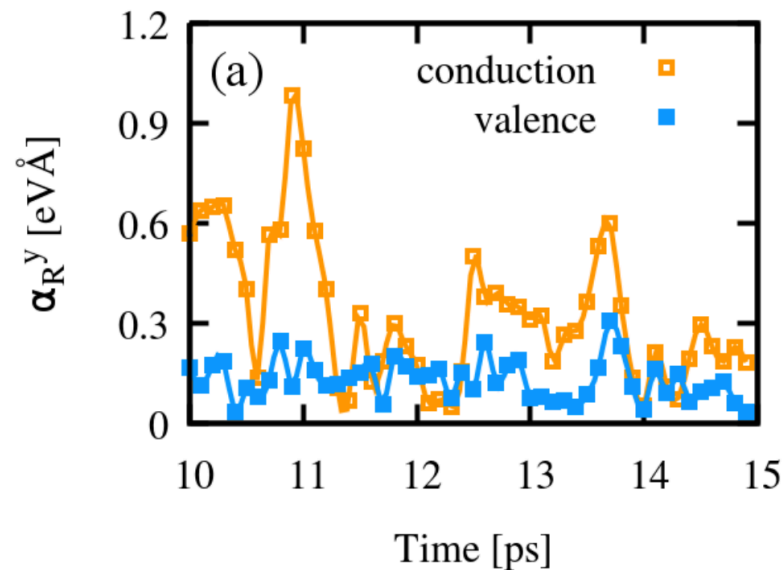
Band splitting due to Spin Orbit Coupling (SOC)



Influence of Disorder and Anharmonic Fluctuations on the Dynamical Rashba Effect in Purely Inorganic Lead-Halide Perovskites

Arthur Marronnier, Guido Roma*, Marcelo A. Carignano, Yvan Bonnassieux, Claudine Katan, Jacky Even, Edoardo Mosconi, and Filippo De Angelis

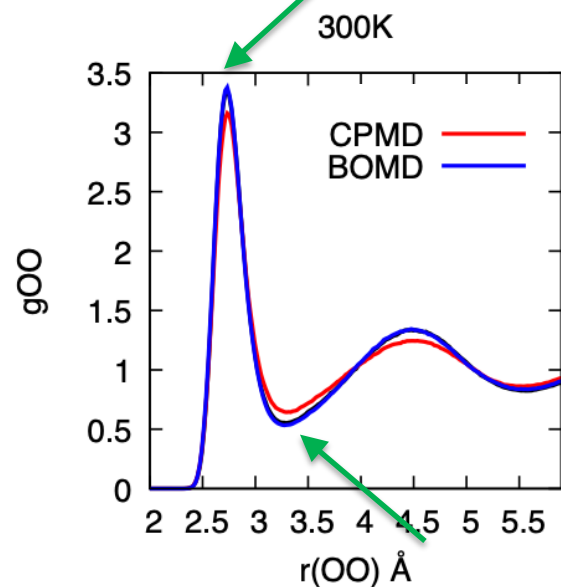
J. Phys. Chem. C 123, 291 (2019)



Challenges in FPMD

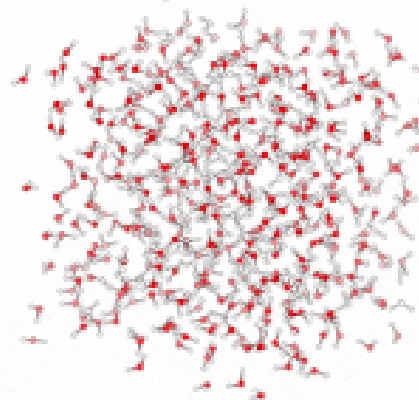
You have exciting opportunities to contribute and advance the field!

Challenges : Exchange-Correlation (XC) Functional



Pair Correlation Function, $g(r)$

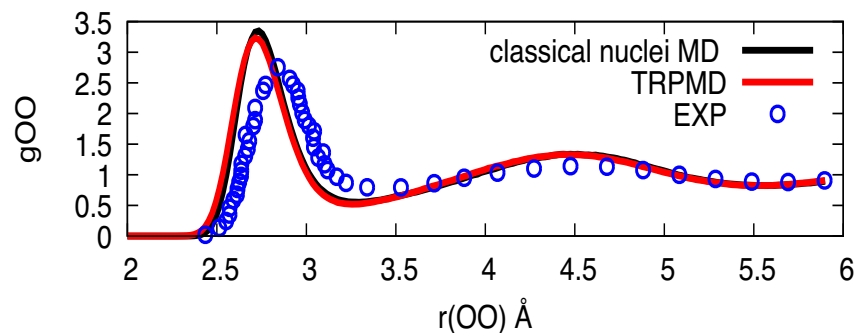
Probability of finding another particle (e.g. O atom) at a given distance from a particle.



	T(K)	Length(ps)	$r_{\max,1}$	$g_{\max,1}$	$r_{\min,1}$	$g_{\min,1}$	$r_{\max,2}$	$g_{\max,2}$
PBE	300	150	2.72	3.72	3.25	0.31	4.41	1.56
BLYP	300	~20	2.75	3.23	3.35	0.40	4.50	1.50
SCAN+rVV10	300	~20	2.7	3.1	3.3	0.6	4.6	1.2
BLYP-D3	300	64	2.77	3.08	3.36	0.79	4.48	1.15
revPBE-D3	300	~100	2.77	2.77	3.29	0.66	4.35	1.28
revPBE0-D3	300	~300	2.80	2.56	3.50	0.90	4.45	1.09
B97M-rV	300	200	2.83	2.68	3.56	0.93	4.54	1.03
Exp	298		2.75-2.80	2.53-2.73	3.45	0.80-0.85	4.40-4.50	1.12
Exp	296		2.75	2.62	3.45	0.84	4.43	1.13

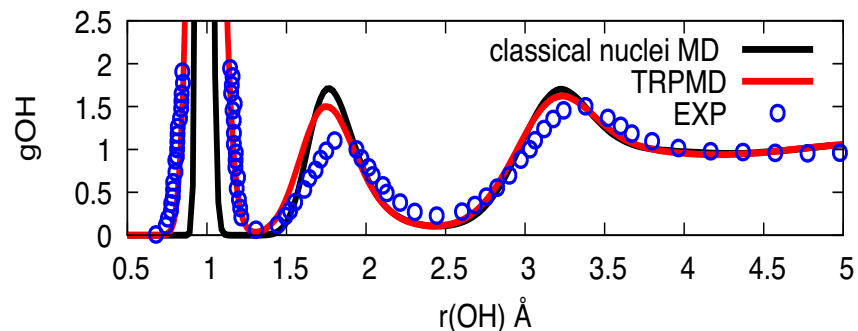
Challenges : Nuclear Quantum Effect (NQE)

Nuclei can be treated as quantum-mechanical particles using Feynman's path integral (PI) formulation. TRPMD is a recent PI method by Ceriotti, et al.

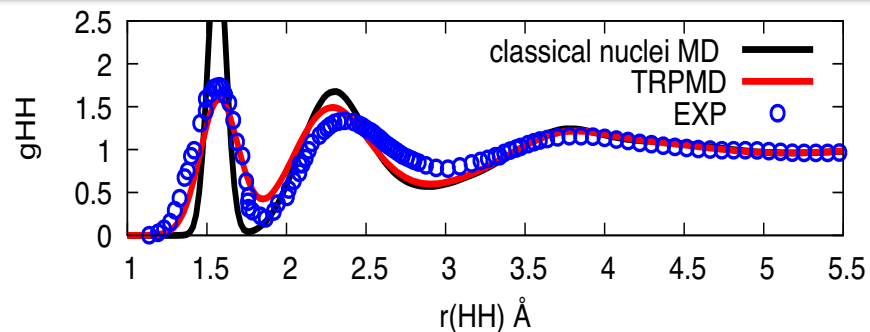


Artificial neural network technique was used to “learn” FPMD simulation.

Yao and Kanai, J. Chem. Phys. 153, 044114 (2020)



Agreement to experiment improves when NQE is accounted for properties like g_{OH} and g_{HH} .



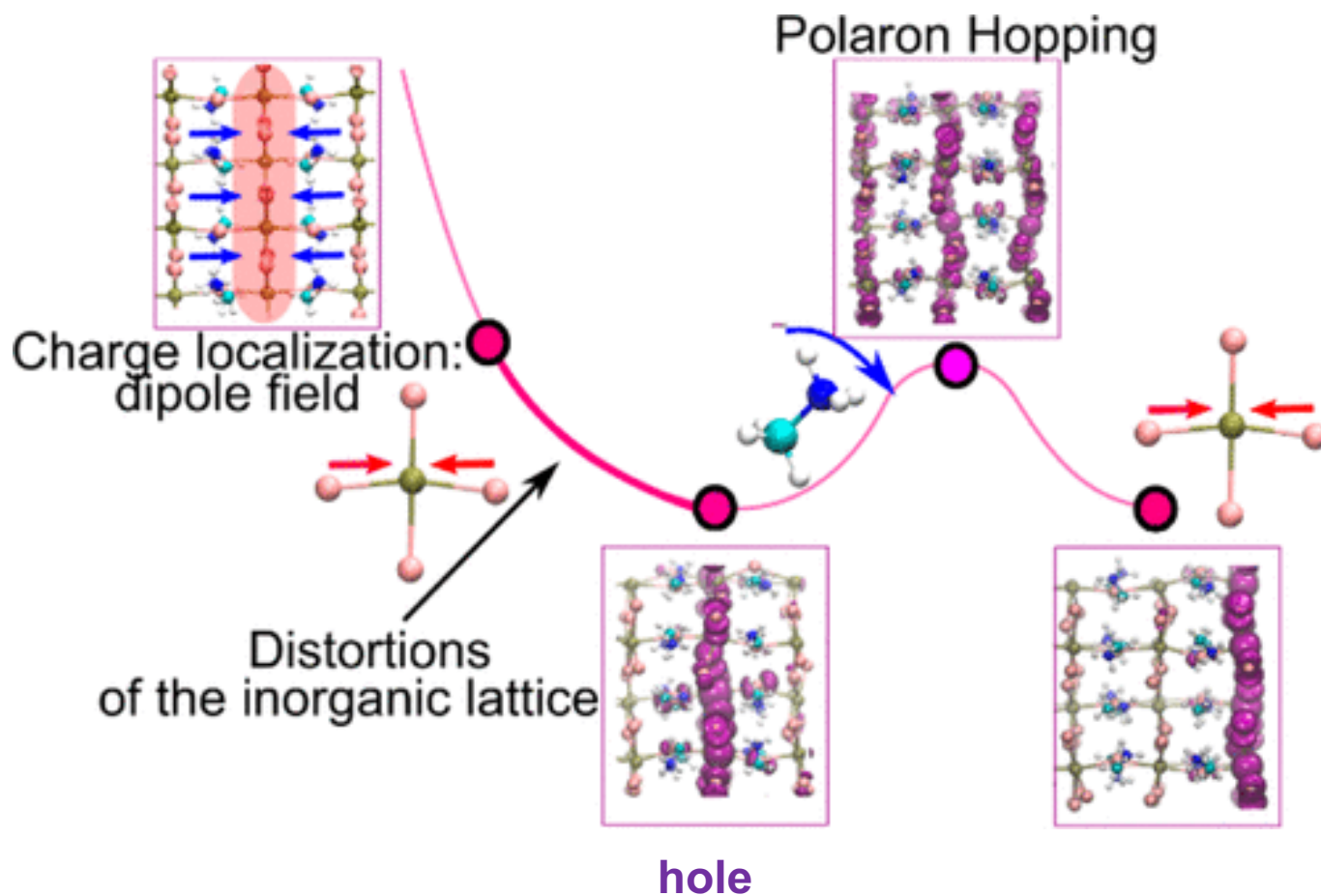
The disagreement for g_{OO} remains, likely due to XC error.

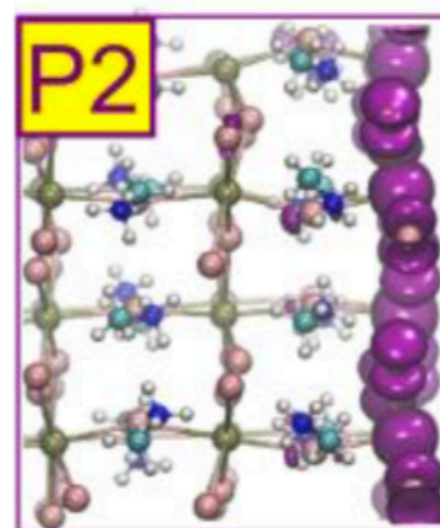
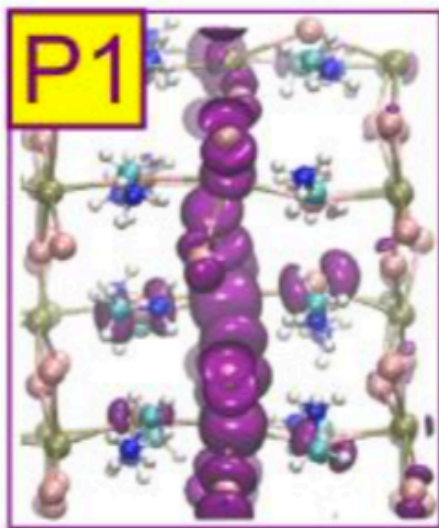
Challenges : “Rare” event problem

Charge Localization, Stabilization, and Hopping in Lead Halide Perovskites: Competition between Polaron Stabilization and Cation Disorder

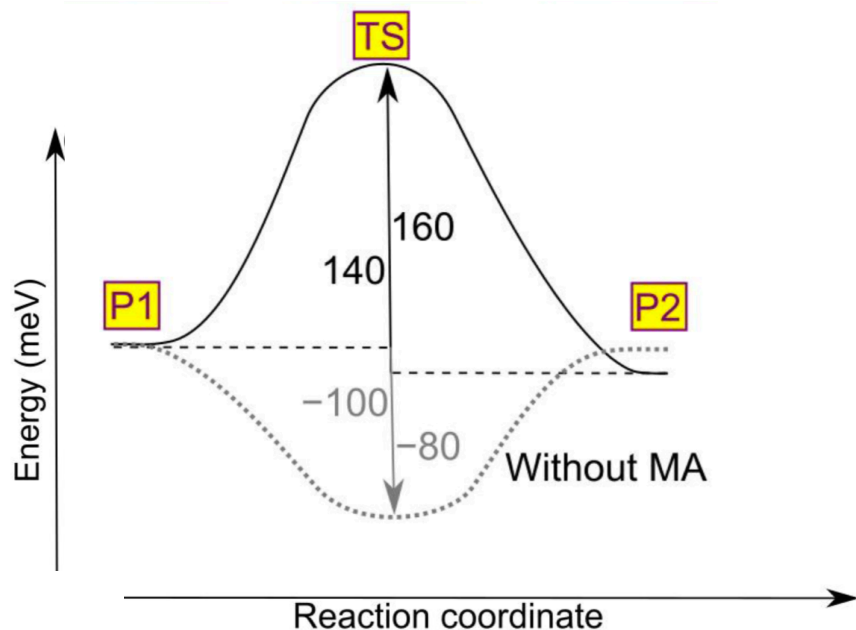
F. Ambrosio, D. Meggiolaro, E. Mosconi, and F. De Angelis

ACS Energy Lett. 4, 2013 (2019)





hole



Rare-event problem

You may not observe such a transition if energy barrier is high (unless FPMD is very very long).

Advanced approaches (Nudged Elastic Band, String, Meta-Dynamics) are needed but they are not without inconveniences.

Challenges : Accounting for Electron Dynamics

In FPMD (both BOMD and CPMD*), electrons remain in the ground state of given nuclear positions; no quantum dynamics of electrons are included.

**Electron dynamics in CPMD is fictitious, not real quantum dynamics*

Born Oppenheimer Molecular Dynamics

$$M_I \ddot{\mathbf{R}}_I = -\nabla_{\mathbf{R}_I} E^{DFT}[\rho_0; \mathbf{R}]$$

Ehrenfest Dynamics (with Time-Dependent DFT)

$$M_I \ddot{\mathbf{R}}_I = -\nabla_{\mathbf{R}_I} E^{DFT}[\rho(t); \mathbf{R}]$$

$$\rho(\mathbf{r}, t) = \sum_i^{occ} 2 |\phi_i(\mathbf{r}, t)|^2$$

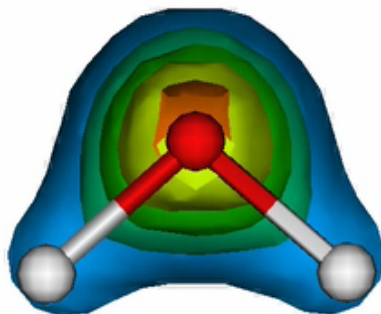
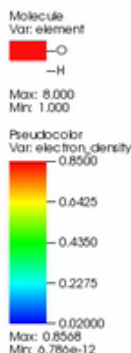
$$i\hbar \frac{d}{dt} |\phi_i(t)\rangle = \hat{H}_{KS}[\rho(\mathbf{r}, t)] |\phi_i(t)\rangle$$

$$= \left\{ \hat{T} + \hat{V}_{\text{ext}}(t) + \hat{V}_{\text{Hartree}}[\rho(t)] + \hat{V}_{\text{XC}}[\rho(t)] \right\} |\phi_i(t)\rangle$$

Challenges : Accounting for Electron Dynamics

Ehrenfest Dynamics example : Nuclei can move in response to the quantum dynamics of electrons caused by optical excitation.

A single water molecule at rest is electronically excited with electric field (in Z direction) that corresponds to 8.75 eV photon absorption.



By Chris Shepard



Electric field pulse applied at this point

END